

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

PROSKAUER ROSE LLP

RECEIVED  
SEP 17 2003  
TC 1700

1585 Broadway  
New York, NY 10036-8299  
Telephone 212.969.3000  
Fax 212.969.2900

LOS ANGELES  
WASHINGTON  
BOCA RATON  
NEWARK  
PARIS

#5/1/02E  
9/25/03

Date August 27, 2003

Client-Matter 75250-012

Fax Transmittal

Total Pages (Including Cover) 5

From Kristin H. Neuman

Sender's Room Number 19-44

Voice Number 212.969.3385

Main Fax Operator 212.969.2900

To Examiner John T. Haran

Fax No. (703) 872-9309

Company United States Patent & Trademark Office - Art Unit 1733

Voice No. (703) 306-5665

Message

Re: Inventor(s) : J. Sauer  
Serial No. : 10/002,181  
Filing Date : December 5, 2001  
Title : METHOD OF TREATMENT OF AN ALUMINUM SURFACE  
Att'y Docket : 75250-012

Enclosed please find:

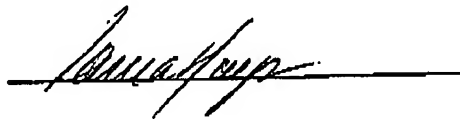
- Revocation and Power of Attorney Forms
- Statement under 37 CFR 3.73(b)
- List of Patent Applications Assigned to Vantico A&T US Inc.

FACSIMILE TRANSMISSION CERTIFICATE

I hereby certify that these papers are being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

Laura Hays

Name of person signing the certification



August 27, 2003

**Confidentiality Note:** This message is confidential and intended only for the use of the addressee(s) named above. It may contain legally privileged material. Dissemination, distribution or copying of this message, other than by such addressee(s), is strictly prohibited. If you have received this message in error, please immediately notify us by telephone and return the original to us at the address above. We will reimburse you for the cost of the telephone call and postage. Thank you.

6390/75562-001 NYLIB1/1671762 v6

8/27/2003 3:03:31 PM (25101)

Received from <212 969 2921> at 8/27/03 3:35:31 PM [Eastern Daylight Time]

Attorney Docket No. 75250-012

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Inventors:</b>	J. Sauer	)	
<b>Serial No.:</b>	10/002,181	)	<b>Group Art Unit:</b> 1733
<b>Filing Date:</b>	December 5, 2001	)	
<b>For:</b>	Method of Treatment of an	)	<b>Examiner:</b> John J. Gallagher
	Aluminum Surface	)	

**REVOCATION AND POWER OF ATTORNEY**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith is a Revocation and Power of Attorney form and Statement under 37 CFR 3.73(b)  
for the above-captioned patent application.

Respectfully submitted,

PROSKAUER ROSE LLP

Dated: 8-27-03

By: Kristin H. Neuman  
Kristin H. Neuman  
Reg. No. 35,530

Proskauer Rose LLP  
Patent Department  
1585 Broadway  
New York, NY 10036-8299

Please type a plus sign (+) inside this box → 

MODIFIED

PTO/SB/92 (10-00)

Approved for use through 10/31/2002. OMB 0851-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REVOCACTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	Application Number	See Attached List
	Filing Date	See Attached List
	First Named Inventor	See Attached List
	Group Art Unit	See Attached List
	Examiner Name	See Attached List
	Attorney Docket Number	See Attached List

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint the practitioners at Customer Number 21890 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ Please change the correspondence address for the above-identified application to:

☒ Customer Number 21890 →

Place Customer  
Number Bar Code  
Label here

OR


<input checked="" type="checkbox"/> Firm or Individual Name	PROSKAUER ROSE, LLP				
Address	PATENT DEPARTMENT				
Address	1585 BROADWAY				
City	NEW YORK				
Country	USA	State	NY	ZIP	10036-8299
Telephone	(212) 969-3385	Fax	(212) 969-2900		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Alan G. Himmelstein Vice President, General Counsel and Secretary - Vantico A&T US Inc.
Signature	
Date	8/21/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<b>REVOCATION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	Application Number	See attached schedule
	Filing Date	See attached schedule
	First Named Inventor	
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	See attached schedule

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint the practitioners at Customer Number 22249 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ Please change the correspondence address for the above-identified application to:

☒ Customer Number 22249

Place Customer  
Number Bar Code  
Label here

OR

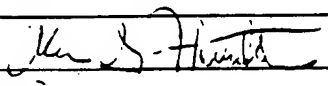
<input type="checkbox"/> Firm or Individual Name	Kristin H. Neuman, Esq.				
Address	LYON & LYON, LLP				
Address	Suite 4700				
City	633 West Fifth Street				
Country	USA	State	CA	ZIP	90071
Telephone	(914) 681-8851	Fax	(914) 686-4488		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Name	Alan G. Himmelstein - on behalf of Vantico Inc.
Signature	
Date	February 23, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/88 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Vantico A&T US Inc.Application No./Patent No.: See Attached Application List Filed/Issue Date: See Attached Application List

Entitled: \_\_\_\_\_

VANTICO A&T US INC.a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel See attached list, Frame See attached list, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

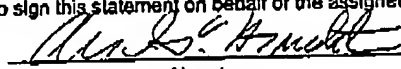
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

**NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8/21/03  
Date

  
Signature
Alan G. Himmelstein

Typed or printed name

Vice President, General Counsel  
and Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

R390/76562-001 NYLIB1/1669598 v1

8/20/2003 1:14:07 PM (25101)

Received from &lt;212 969 2921&gt; at 8/27/03 3:35:31 PM [Eastern Daylight Time]

**Patent Applications Assigned to Vantico A&T US Inc.**

<b>Assignment Reel/Frame No.</b>	<b>Attorney Docket No.</b>	<b>Application Serial No.</b>	<b>Filing Date</b>
013516/0906	75251-002	09/703,787	11/01/00
013516/0906	75252-008	09/776,656	02/05/01
013516/0906	75251-007	09/868,289	06/15/01
013516/0906	75252-015	09/953,250	09/17/01
013516/0906	75250-004	09/986,815	11/13/01
013516/0906	75250-012	10/002,181	12/05/01
013255/0663	75250-043	10/018,667	12/12/01
013516/0906	75253-002	10/088,564	07/01/02
013516/0906	75252-013	10/125,508	04/19/02
013255/0663	75252-014	10/143,907	05/14/02